

ethics in the WOIKIDIace Seminar 2012

Tuesday, June 26, 2012

9:30 am - 4:00 pm

Willis Tower • 33rd Floor • Wacker Room

233 South Wacker • Chicago, Illinois

Hosted by

Illinois Department of Transportation





AGENDA

9:30am Welcome by Director Jeff Heck

Presentation of the Colors by VFW Benjamin O. Davis Post 311

National Anthem Milas J. Armour III

Opening remarks by **Secretary Ann Schneider**

Illinois Department of Transportation

Presentation by Robert Rivkin

General Counsel, United States Department of Transportation

Presentation by **Noel Watson**

Chairman of the Board, Jacobs Engineering Group Inc.

Presentation by Margaret McLean

Chief Legal & Compliance Officer, CH2M HILL

BREAK

Presentation by **Tuskegee Airmen**

Recipients of the Congressional Gold Medal Moderated by Kenneth Rapier, President, Chicago "DODO" Chapter, Tuskegee Airmen, Inc.

LUNCH BREAK

(lunch is on your own)

1:00PM Presentation by Ann Calvaresi Barr

Deputy Inspector General, United States Department of Transportation, Office of the Inspector General

Presentation by Robert Stanek

Special Agent, United States Department of Transportation, Office of Inspector General

Presentation by **Dennis M. Wilt**

Vice President and General Counsel, Midwest Group, Waste Management, Inc.

Presentation by **John Hauser**

Special Agent, Federal Bureau of Investigations

4:00_{PM} CLOSING REMARKS



Ann L. SchneiderSecretary - Illinois Department of Transportation

On October 24, 2011, Governor Pat Quinn appointed Ann L. Schneider Secretary of the Illinois Department of Transportation (IDOT), overseeing a work force of over 5,200 employees with oversight of more than \$2.5 billion in capital projects. On November 29, 2011, by unanimous vote, her appointment was confirmed by the Illinois Senate. Secretary Schneider began work with IDOT in 2005 as the Director and Chief Fiscal Officer of the Office of Finance and Administration, in which she oversaw the multi-billion dollar IDOT operating budget and a multi-billion dollar capital budget. In 2010 she was appointed Chief Operating Officer in the Secretary's Office where she was responsible for six offices and four divi-

sions of IDOT and functioned as a liaison with the Governor's Office and the Illinois General Assembly. Secretary Schneider has worked for the State of Illinois for nearly 25 years. Before joining the Illinois Department of Transportation she served as the Chief Fiscal Officer for the Illinois Department of Natural Resources, was Budget Operations Director at the Governor's Office of Management and Budget and was Assistant State Comptroller for Fiscal Policy. Secretary Schneider was awarded her Bachelor's of Art degree from Augustana College in Rock Island and her Masters of Public Administration from the University of Illinois at Springfield. She is a published author, speaker, and instructor for the University of Illinois, Springfield.



Robert S. RivkinGeneral Counsel - United States Department of Transportation

Robert S. Rivkin is counsel to Secretary Ray LaHood and serves as the United States Department of Transportation's Chief Legal Officer, with authority to resolve all legal questions concerning the Department's policies and programs and its over 55,000 employees and \$78 billion budget. Mr. Rivkin oversees the activities of more than 500 lawyers in the Department and its ten operating administrations, safeguarding the integrity of the decision making process and promoting compliance with all applicable laws. He is responsible for the Department's regulatory program, including airline consumer protection, its litigation and enforcement activities, and the preparation of transportation legislation. Mr. Rivkin was

sworn in on May 18, 2009 as the 21st General Counsel of the U.S. Department of Transportation, following unanimous confirmation of his appointment by the United States Senate. Before becoming General Counsel Mr. Rivkin was Vice President and Deputy General Counsel of Aon Corporation. Mr. Rivkin has also served as General Counsel of the Chicago Transit Authority and has previously served on the Transportation Committee and as a Director of the Chicago-based Metropolitan Planning Council. He graduated magna cum laude from Harvard College and received his J.D. from Stanford Law School.



Ann Calvaresi Barr

Deputy Inspector General - United States Department of Transportation - Office of the Inspector General Ms. Calvaresi Barr is the Deputy Inspector General at the U.S. Department of Transportation (DOT). As the Deputy Inspector General, she is responsible for providing executive level leadership and direction of Office of Inspector General (OIG) audits and investigations of transportation-related issues, as well as oversight of OIG operational support activities. Ann Calvaresi Barr came to DOT OIG as the Principal Assistant Inspector General for Auditing and Evaluation. In this position, Ms. Calvaresi Barr directed and oversaw all audit work on the Department's air, highway, transit, maritime, and rail programs, including implementation of the American Recovery and Reinvestment Act. She assumed her position

in March 2009 after a 25-year career at the U.S. Government Accountability Office (GAO). Ms. Calvaresi Barr is a graduate of Dickinson College, Carlisle, PA, where she earned a B.A. in Political Science. She also holds a Master of Public Administration from American University, Washington, D.C., is a graduate of Harvard's Kennedy School of Government Senior Executive Fellows Program, and holds an MIT fellowship in Foreign Politics, International Relations and the National Interest.

Ethics is knowing the difference between what you have a right to do and what is right to do. -Potter Stewart



Margaret B. McLean Senior Vice President & Chief Legal Officer - CH2M HILL

As Chief Ethics Officer, Ms. McLean oversees the ethics and compliance function for CH2M HILL, a \$6.5 billion global engineering and construction company with 30,000 employees in 70+ countries around the world. The ethics function includes a comprehensive education, training, and assurance function for enterprise business practices. This includes conflicts of interest oversight, domestic and international anti-corruption programs, a multilingual 24/7 global hotline program, ethics concerns, investigations, and education, the global Ethics Ambassador program, supply chain ethics management program, political contributions and gifts and entertainment program, as well as a special program focused specifically on

the ethics requirements unique to working for the United States and other national government clients.



Noel Watson Chairman of the Board - Jacobs Engineering Group Inc.

Noel Watson serves as Chairman of the Board of Jacobs Engineering Group Inc., a technical, professional, and construction services company. The firm was founded in 1947. Noel was recruited by company founder, Dr. Joseph Jacobs, in 1960. He left for a few years but returned in 1965 and has been with the Company ever since. With a degree in chemical engineering from the University of North Dakota, Noel's early focus was mining and minerals processing projects. Over the years, he's worked in several locations and in several roles for the company. First a process engineer and then a project manager, he assumed successive senior management positions until ultimately becoming president in 1987 and

CEO in 1992. As CEO, Mr. Watson was responsible for the Company's operations. During his tenure, revenues grew from \$1.1 billion to well over \$7.0 billion, with a corresponding increase in profits. In that time, Jacobs' backlog grew from \$1.8 billion to over \$10.0 billion, transforming the company from a mid-sized construction and professional services company. In 2004, Noel was elected Chairman of the Board. Today, Jacobs is one of the world's largest and most diverse providers of technical, professional, and construction services, with 2009 annual revenues exceeding \$11.0 billion.



Robert Stanek

Special Agent - United States Department of Transportation, Office of Inspector General

Robert Stanek has been a special agent with the United States Department of Transportation Office of Inspector General, New York field office, for over eleven years. During that time, Special Agent Stanek has conducted a wide range of investigations in the areas of grant fraud, public corruption, and fraud against government. Prior to joining US DOT/OIG, Special Agent Stanek was employed by the United States Customs Service for four years as an Inspector and worked at John F. Kennedy International Airport in New York City. Special Agent Stanek graduated from St. John's University in 1997, with a Bachelor of Science Degree in Criminal Justice.

Associate yourself with people of good quality, for it is better to be alone than in bad company.

-Booker T. Washington



Dennis M. Wilt

Vice President and General Counsel, Midwest Group, Waste Management, Inc.

Dennis Wilt is the Midwest Group Vice President and General Counsel for Waste Management, Inc. Mr. Wilt is responsible for legal and compliance matters in twelve Midwestern states in which Waste Management does approximately \$3 billion of business annually. He began his career at Waste Management in 1990 as Region Vice President and General Counsel. Mr. Wilt earned his B.A. from Alfred University and graduated summa cum laude from the University of Detroit School of Law. With over 45,000 employees serving over 20 million residential, industrial, municipal and commercial customers, Waste Management is North America's leading provider of integral environmental solutions. Waste Management

has been recognized by the Ethisphere Institute, the leading business ethics think-tank, as one of the 2012 World's Most Ethical Companies. This is the fifth year the company has been honored for promoting the highest of ethical standards.



John Hauser

Special Agent - Federal Bureau of Investigations

John Hauser joined the FBI as a Special Agent in 1998 and in 2001 he was assigned to Public Corruption investigations where he served as the Case Agent for the Hired Truck investigation, which began in the fall of 2002 and continued until 2010. A discrete, single defendant bribery case soon grew into what the Chicago Sun Times described as possibly "the most significant investigation of City Hall corruption ever in Chicago." In all, 49 individuals were charged, including over 20 city employees and officials. In 2008, Mr. Hauser became an Assistant United States Attorney for the Northern District of Illinois. While there, he prosecuted various violent, narcotics, and fraud cases, in addition to a 35-defendant

Eastern European Organized Crime case. In 2010 Mr. Hauser returned to the FBI and participated in an FBI-led task force investigating fraud and corruption in Afghanistan. He has recently accepted a supervisory position in the FBI's Cyber Division. Mr. Hauser graduated from the University of Illinois, cum laude and Phi Beta Kappa, with a double degree in Political Science and History. He is also a cum laude graduate of the University of Minnesota Law School.

It is curious that physical courage should be so common in the world, and moral courage so rare.

Have the courage to say no. Flave the courage to face the truth. Do the right thing because it is right. These are the magic keys to living your life with integrity. -W. Clement Stone



Tuskegee Airmen

The Tuskegee Airmen were dedicated, determined young men who enlisted in the U.S. Army Air Corps to become America's first black military airmen. They accepted the challenge during a time when segregation was the norm and many people thought that blacks lacked intelligence, skill, courage and patriotism. Overcoming prejudice and discrimination, this elite group played an integral role in the Allied victory in World War II and directly contributed to the eventual integration of the U.S. armed services. Governor Pat Quinn, Illinois Transportation Secretary Ann L. Schneider and other state and local officials recently dedicated the Tuskegee Airmen Memorial Trail to celebrate the Airmen's commitment to our country. Pursuant to House Resolution 28, sponsored by Rep. Marlow Colvin, the Tuskegee Airmen Memorial Trail is the stretch of Interstate 57 between Exit 339 at Sauk Trail Road and Exit 358 at Wentworth Avenue in Chicago. "It is important to recognize our men and women who sacrificed so much to defend our rights," said Governor Quinn. "Their service to our country will always be remembered."

The time is always right to do what is right.
-Martin Luther King, Jr.

PAST SPEAKERS



Robert D. Grant



Christopher G. Kennedy



Honorable Charles P. Kocoras



Kristi Lafleur



Brian A. Hamer



Mark J. Cheviron



Ted Chung



Lawrence Oliver II



Patrick M. Collins



Chad D. Fornoff



James W. Wagner



Honorable Anne M. Burke



Rodger Heaton



Clayton K. Harris, III



Michelle T. McVicker



Scott Turow



Brigadier General Calvin L. Scovel, III



Tim Martin



Honorable J. Dennis Hastert



Vito Corso

HOW TO REPORT TRANSPORTATION FRAUD

If you are aware of or suspect the commission of fraud on transportation related projects funded by the Illinois Department of Transportation including fraud pertaining to the Disadvantaged Business Enterprise (DBE) Program, contact IDOT's Office of Quality Compliance & Review. Allegations may be reported by DOT employees, contractors, or the general public. OQCR's Hotline is open 24 hours a day. All information will be kept strictly confidential.

REPORT TO IDOT

IDOT Fraud Hotline

Call: 1-800-455-5008 (toll free)

Email to: DOT.FraudAwareness@illinois.gov

Mail To: IDOT Office of Quality Compliance and Review, 2300 South Dirksen Parkway, Springfield, IL 62764

REPORT TO THE FEDERAL GOVERNMENT

The US DOT Office of Inspector General (OIG) also maintains a Hotline to facilitate the reporting of allegations of fraud, waste, abuse, or mismanagement in U.S. Department of Transportation (DOT) programs or operations. You may report such allegations through the contact information listed below. Allegations may be reported by DOT employees, contractors, or the general public. OIG's Hotline is open 24 hours a day, seven days a week and is operated by a third party contractor.

www.oig.dot.gov/hotline

Call: 1-800-424-9071 (toll free) Email to: hotline@oig.dot.gov

Mail to: DOT Inspector General, 1200 New Jersey Ave SE, West Bldg 7th Floor, Washington DC, 20590

REPORT TO THE OFFICE OF THE EXECUTIVE INSPECTOR GENERAL

Complaints regarding allegations of State employee misconduct, fraud, waste, etc., can be made to the Office of Executive Inspector General for the Agencies of the Illinois Governor by anyone. However, the complaint must relate to the official conduct of a State employee of a State agency, board, commission, or State public university under the jurisdiction of the OEIG, or a person or entity (such as a vendor of the State) doing business with an employee or State agency under the jurisdiction of the OEIG.

- Visit www2.illinois.gov/oeig/Pages/FileaComplaint.aspx
- Call the OEIG's toll-free hotline at 866.814.1113
- Contact the Office via telecommunications device for the disabled (TTY) at 888.261.2734
- Mail a completed complaint form to:

Office of Executive Inspector General for the Agencies of the Illinois Governor

Attention: Complaint Division 32 West Randolph, Suite 1900

Chicago, Illinois 60601

- Fax a completed Complaint Form to 312.814.5479
- Visit the OEIG's Springfield or Chicago offices to obtain, complete and submit a complaint form

Glass, china, and reputation are easily cracked, and never mended well.

-Benjamin Franklin